



Lesson 3.8b

Field HPKI Unit

Contents

- Terminology, characteristics and capabilities.
- Strengths and limitations.
- Tasking and employment considerations.

Learning Outcomes

- Explain the characteristics and capabilities of Field HPKI Units.
- Explain the strengths and limitations of employing Field HPKI Units.
- Demonstrate how Field HPKI units receive tasking.

Lesson Content

Terminology
Characteristics
Capabilities

Strengths
Limitations

Deployment Considerations
Tasking
Employing

Reference material

UNCLASSIFIED



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Guidelines

Acquisition of Information from Human Sources for Peacekeeping-Intelligence (HPKI)

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HPKI terminology (1)

- **HPKI** is the process in which information is acquired from human sources in a structured, lawful and non-clandestine manner.
- A **Component HPKI cell** refers to a military, police or civilian HPKI cell that is the mission's focal point for the planning and implementation of HPKI operations.
- A **human source** refers to an individual who is willing to share information with UN HPKI personnel.

HPKI terminology (2)

- **Human source handlers** are qualified civilian or uniformed UN personnel trained in HPKI techniques, specifically deployed for and tasked with the acquisition of information from human sources.
- **Human source protection** refers to the necessary measures to ensure the human source's physical safety and security before, during, and after a meeting or contact, and maintaining the confidentiality of the human source's personal details and of the fact that the source provided information to the United Nations.

Characteristics (1)

The acquisition of information from human sources can be generally divided into four categories, based on the acquisition methods used:

- Undirected, casual.
- Directed, but un-incentivized.
- Directed and incentivized, but not clandestine.
- Directed, incentivized and/or clandestine.

Normal day-to-day military
interaction with the population

This is the core method of HPKI

Forbidden

Forbidden



Characteristics (2)

- A mission-level HPKI coordination cell.
- HPKI only considered if acquisition requires human interaction to answer an IR.
- HPKI operations are not single actions.
- HPKI operations are conducted in a non-clandestine manner



Capabilities

- Set up networks of human source.
- Interaction with multiple entities.
- HPKI operations are sensitive and require a high-degree of operational security.



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Strengths

- Direct engagement with human sources.
- Can acquire information about intentions, morale and relations between actors.
- Low profile.
- Low cost compared to other ISR disciplines.



Limitations

- It takes time to develop a network of human sources.
- Reliant on others for force protection.
- Language and cultural barriers.
- Rarely able to react to time sensitive acquisition.
- Limited resource.



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Possible tasks

- Monitoring
- Screening
- Contact Operations
- Operational Recruitment
- Document exploitation

Tasking Considerations (1)

- Employed when other assets have failed to acquire information or the information is inconclusive.
- Strictly to support Information Acquisition Plan and Intelligence Support Plan.
- Security of UN personnel and sources.
- Gender requirements.



Tasking Considerations (2)

- Non-clandestine manner.
- Structured to ensure that source coverage exists in all information gaps.
- HPKI sources cannot be Host State employees or affiliated personnel.
- No amount of money will be paid, nor gifts offered, to HPKI sources, or their relatives, in remuneration for information.



Employment (1)

Prior the employment, the HPKI Cell must ensure that:

- Operation aligns to the IAP.
- Threats and risks are understood.
- Deconfliction with other Mission actors.
- The engagement is planned.
- Possible contingencies are prepared and rehearsed.



During the employment, the HPKI Cell must ensure that:

- The engagement (conversation) achieves the planned goals and adheres to UN policies.
- The safety of the UN personnel and the sources.

Employment (2)

After deployment, the HPKI Cell must:

- Collate data using a dedicated HPKI system.
- Grade the source.
- Disseminate information according:
 - timeliness, relevance, brevity and interpretation.

| Source Reliability | |
|--------------------|----------------------|
| Rating | Evaluation |
| A | Reliable |
| B | Usually reliable |
| C | Fairly reliable |
| D | Not usually reliable |
| E | Unreliable |
| F | Cannot be judged |

| Credibility of Information | |
|----------------------------|------------------|
| Rating | Evaluation |
| 1 | Confirmed |
| 2 | Probably true |
| 3 | Possibly true |
| 4 | Doubtfully true |
| 5 | Improbable |
| 6 | Cannot be judged |

Take Away



- HPKI is a directed, non-clandestine and un-incentivized activity.
- Fill information gaps that other sensors cannot acquire.
- HPKI Cell must ensure the safety of UN personnel and sources throughout operations.



Questions