

United Nations
Department of Peace Operations /
Department of Operational Support
Ref. 2019.15



Guidelines

Award of Risk Premium (Formed Units)

Approved by: USG DPO
USG DOS
Effective date: 1 July 2019
Contact: *Uniformed Capabilities Support Division*
Review date: 1 July 2022

**DPO/DOS GUIDELINES ON the award of the
Premium for units operating without restrictions and caveats acquitting themselves
well despite exceptional levels of risk**

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A. PURPOSE

1. The purpose of these guidelines is to set out in detail how the Departments of Peace Operations (DPO) and Operational Support (DOS) will implement General Assembly resolution 67/261 on awarding bonuses to individual formed units operating without restrictions and caveats imposed by troop- and police-contributing countries (T/PCCs) and that have acquitted themselves well despite exceptional levels of risk.
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B. SCOPE

2. The guidelines apply to all formed United Nations military and police contingent personnel serving in United Nations field missions.¹
 3. These guidelines are intended to support field missions and headquarters entities responsible for initiating, assessing, approving and managing the award of risk premiums.
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¹ These guidelines will also apply *mutatis mutandis* to formed units deployed to field missions under the purview of the Department of Political and Peacebuilding Affairs (DPPA).

C. RATIONALE

4. The General Assembly in its resolution 65/289 requested the Secretary-General to establish a Senior Advisory Group (SAG) to consider rates of reimbursement to troop contributing countries and related issues. The SAG recommended two new premium payments as part of a revised reimbursement framework. The report of the SAG was transmitted by the President of the General Assembly to the Chairman of the Fifth Committee in a letter dated 9 November 2012 (A/C.5/67/10).
5. The SAG report acknowledged that *“some field missions entail a higher level of risks for the troops and other personnel than others. The reimbursement system should recognize the different levels of risks incurred by troops in different United Nations missions, with the objective of securing broader and more effective participation in the full range of missions, including the most demanding ones”*.² The report stated that *“uniformed personnel should be rewarded when they have performed in situations of exceptional risk”* and recommended that *“the Secretary-General be authorized to award bonuses to individual units that are operating without restrictions and caveats imposed by troop- and police-contributing countries and that have acquitted themselves well despite exceptional levels of risk”*.³ The SAG report also recommended that *“the annual aggregate amount of such awards would be no greater than an amount equal to a 10 per cent premium paid to 10 per cent of the average number of contingent personnel deployed during the peacekeeping fiscal year”*.
6. The General Assembly took note of the report of the Secretary-General on implementation of the SAG recommendations (A/67/713), with some qualifications, in its resolution 67/261 of 6 June 2013. General Assembly resolution 68/281 of 5 August 2014 *“requested the Secretary-General to make such payments as he authorizes for the premium payments from the accounts of the qualifying missions, and also requested the Secretary-General to report on such payments and on any implications for approved funding levels in the report on the budget performance of each peacekeeping operation.”*
7. The present guidelines provide detailed guidance on the processes to be followed to award premiums for units acquitting themselves well despite exceptional levels of risk (the “risk premium”).

D. PRINCIPLES

8. The award of a risk premium will be exceptional, taking into account that uniformed personnel by virtue of their professional experience and training along with protective equipment, are expected to operate in hostile environments, endure hardship and are subject to a certain degree of challenge⁴.
9. The risk premium shall be awarded to military and police units that have acquitted themselves well despite exceptional levels of risk and shall be payable to each individual member of the unit upon completion of service.

² A/C.5/67/10, para. 26

³ A/C.5/67/10, para. 83

⁴ A/C.5/67/10, para. 85.

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10. The risk premium shall be no greater than an amount equal to ten per cent of the standard monthly reimbursement rate paid per contingent member. The risk premium will cover all actions taken within a specified calendar month.
11. The annual aggregate amount of such awards will be no greater than an amount equal to a ten per cent risk premium paid to ten per cent of the average number of contingent personnel deployed during a peacekeeping fiscal year. To that effect, a yearly estimate of the funding cap for this purpose should be prepared by the Uniformed Capabilities Support Division (UCSD) at the start of the financial period equivalent to one percent of the total contingent personnel reimbursement costs.
12. Given the exceptional nature of the award, there can be no expectation that awards would be approved every quarter.⁵ However, the risk premium could be requested for subsequent quarters if the circumstances warrant and the criteria are met.
13. In addition to the risk premium, each member of the unit will receive non-monetary recognition through a special clasp to be affixed to the ribbon of the United Nations Medal and a letter of commendation from the Under-Secretary General for Peace Operations. A streamer will also be awarded to the unit.
14. The risk premium will be paid directly to contingents as part of the formal check-out process.⁶ The risk premium award, letter of commendation, clasp and streamer may be awarded at the mission during the UN medal ceremony at the conclusion of the unit's tour of duty as recognition of their exceptional service to the United Nations.
15. In situations where the approval for the awarding of the risk premium is granted following repatriation of the respective unit, UN Headquarters will make arrangements with the T/PCC and request confirmation in writing that the military or police personnel awarded the risk premium receive the exact amount paid by the United Nations to the respective Government.
16. Recommendations made for the awarding of the risk premium should be based on documented evidence.
17. The application of the award will be fair, equitable and transparent.
18. The risk premium may be awarded posthumously.
19. The process of considering and approving recommendations for the award of risk premiums for a particular quarter should normally be completed before the end of the subsequent quarter.
20. The risk premium shall not be awarded to any personnel alleged to have engaged in misconduct, including sexual exploitation and abuse, unless such allegations have been established to be unsubstantiated following a duly authorised investigation. Risk premium not awarded to personnel as a result of duly authorised investigations not being completed may be subsequently awarded following completion of such investigations and when allegations have been established to be unsubstantiated.

⁵ A/67/713, para. 36

⁶ A/67/713, para. 39.

E. PROCEDURES

21. Considerations for awarding the risk premium

17.1 To be eligible to receive this risk premium, three conditions must be met. First, units **may not** have restrictions and caveats placed on their operations by their respective TCC/PCCs outside of those accepted by DPO and DOS in the memoranda of understanding. Recommendations submitted by missions will include documented evidence that T/PCC units are operating without restrictions.

17.2 Second, units must also have been subject to exceptional levels of risk. The primary consideration in determining whether this condition is met is “whether there is an exceptional and sustained level of danger to life, property and premises from hostile actions by parties to a conflict, spoilers, potential aggressors or warlords”⁷. If this criterion is met, other criteria set out in A/C.5/67/10 paragraph 85 would be taken into consideration. Those criteria are listed below:

- High levels of threat of death or injury due to mines, improvised explosive devices or unexploded ordinances;
- The likelihood of frequent hostage-taking, sniping and attacks on posts or mobile columns;
- The lethality of different weapon systems available to parties on the ground;
- The likelihood of United Nations forces being frequently involved in robust military engagement;
- Serious deficiencies in the logistics infrastructure (e.g. medical, communications, transportation) and challenges to self-sustainment to the extent that this will contribute to an elevated threat level.

17.6 Third, units must have acquitted themselves well, performing above and beyond the call of duty and executing their tasks with a high level of skill and professionalism. Following the determination of exceptional risk, the unit(s) will be evaluated by the Force Commander and/or Police Commissioner on how they have acquitted themselves within the period of sustained risk. The Force Commander and/or Police Commissioner will submit a detailed narrative and supplementary documentation as listed in 18.3 and 18.4 below to support their recommendation.

17.5 The decision support matrix (Annex B) should be used by field missions to demonstrate that the mandatory conditions have been met and to justify the additional risk criteria.

18. Procedure.

18.1 This section describes the procedures by which the recommendations for the risk premium will be assessed. Each recommendation will be reviewed in separate steps in the mission and at Headquarters.

⁷ A/C.5/67/10, para. 85

18.2 In the mission.

- i. The recommendation for the award of the risk premium for a particular quarter (Annex A) will be initiated by the Force Commander or Police Commissioner⁸, once that quarter has ended. The request will provide detailed information on the unit, as well as the supporting documented evidence listed in the form.
- ii. A panel comprising senior civilian and uniformed personnel, including from the mission support component in the mission will be established to review the request for risk premium (Annex A) and complete a decision support matrix (Annex B) to assess whether the recommended military and police units meet the criteria to merit the award of the risk premium and provide documented evidence in support of that assessment. If recommended, the form should be signed by the Force Commander or Police Commissioner, as applicable.
- iii. The panel's recommendation will be cleared and signed by the Head of Mission after which it will be sent by Code Cable to the Under-Secretary General for Peace Operations and Under-Secretary General for Operational Support. A soft copy of the signed forms should be emailed to the relevant desk officers in the Office of Military Affairs (OMA) or Police Division (PD), and the MOU and Reimbursement Policy Section (MRPS) of the Uniformed Capabilities Support Division (UCSD) at UN Headquarters.
- iv. Should the Head of Mission recommend against the award of a risk premium, a soft copy of Annex A and B must be forwarded to the relevant desk officers at Headquarters for information and record keeping.
- v. If a mission does not already have a sufficient stock of clasps or streamers on hand for all the units recommended for the risk premium, the mission should initiate the procurement process for clasps and streamers once a recommendation is made to the Headquarters.

18.3 At Headquarters.

- i. MRPS/UCSD will undertake an initial review and assessment of the request for completeness, accuracy of dates and the inclusion of fully-documented justifications in line with the principles and criteria governing the risk premium.
- ii. If documents are satisfactory, the request will be submitted to the Headquarters COE and MOU Management Review Board (HQ CMMRB) for consideration.
- iii. If additional information is required from the mission at any stage, MRPS/UCSD will liaise with the mission to obtain the required information.
- iv. The Reimbursement Claims Management and Performance Section (RCMPS) of UCSD will compile the risk premium requests and the recommendations of HQ CMMRB on a quarterly basis for approval by the Under-Secretary General for Peace Operations (USG/DPO).

⁸ Office for Military Affairs and Police Division may also nominate units for consideration of an award, in consultation with the mission.

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- v. In the decision package transmitted to the USG/DPO, RCMPS/UCSD will include information on the level of funds that are still available for the period.
 - vi. USG/DPO shall review each request, taking into account the advice of OMA or PD, and UCSD, and decide whether to award the risk premium.
 - vii. In the case of risk premium requests from special political missions, the role of offices of DPO in the Headquarters process will be assumed by the Department of Political and Peacebuilding Affairs (DPPA). The role of all other actors remains the same.
- 18.4 The recommendation and decision for a risk premium would use existing information sources from and within the mission, including:

i. **Mandatory Documents**

- Completed and signed Request for Risk Premium Form (Annex A).
- List of personnel proposed for the risk premium.
- Completed decision support matrix/checklist (Annex B).
- Risk/Threat assessments for the relevant period.

ii. **Supporting documentation**

- Situational reports / Code cables.
- Mission Factors data consistent with the COE Manual.
- Force/Police reports – daily activities (e.g. humanitarian assistance, patrols, etc.).
- Operational Ammunition Expenditure Certificates (OAEC) approved by Force Commander/Police Commissioner and Director/Chief of Mission Support.
- Statements of Force Commander and/or Police Commissioner
- Operational orders/requests.
- Fragmentary Order (FRAGO).
- Post action / operations reports.
- Other related documents.

18.5 Implementation of Decision

- i. DPO (or DPPA) will inform missions by code cable of the decisions regarding requests for the award of risk premiums and will send notes verbales to inform the relevant permanent missions of T/PCCs when a decision is granted to award the risk premium to a particular unit.
- ii. MRPS/UCSD will monitor the overall process of awarding the risk premium; ensure that all justifications, documentation, approvals and signatures per established guidelines are complete. RCMPS/UCSD will coordinate the process of certifying the risk premium requests for payment
- iii. In the event that a unit rotates during the quarter, the risk premium periods should be split by rotation date for the departing and incoming units and be reflected by the mission separately on the first page of Annex A, in addition to providing separate lists for the unit troop names with date of entry and end of duty date.

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- iv. For units still within the mission area: Upon receipt of the approval of the risk premium payment from Headquarters, the Director or Chief of Mission support (DMS/CMS) will send an instruction memo to the mission's budget and finance section authorizing the payment of the risk premium. The paymaster assigned to each contingent in the mission will be responsible for the payment of the risk premium to the members of the eligible unit(s).
 - v. For units that have departed the mission area: RCMPS/UCSD will send a request to the Accounts Division in the Department of Management Strategy, Compliance and Policy (DMSPC) for payment to the relevant T/PCC upon receipt of a signed declaration from the permanent mission confirming that the full amount of the risk premium will be paid to the personnel of the qualifying units.
 - vi. The mission will coordinate preparation of the commendation letters, which will be distributed to individuals along with their award clasps. For units and individuals who have already departed the mission area, the mission should arrange for the clasps to be shipped to UNHQ, where they will be delivered to the permanent mission of the troop- or police-contributing country.
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F. FUNDING

- 19. The funding for the risk premium payment will be through the relevant budget for the specific peacekeeping operation. Payments and any implications for approved funding levels will be reported to the General Assembly through the relevant budget performance reports.
- 20. RCMPS/UCSD will be responsible for the reviewing and processing of payment for payment of the risk premiums once approved by USG/DPO and verified through the processes outlined above.
- 21. RCMPS/UCSD will calculate the risk premium for the quarter based on the number of contingent personnel approved for the award on a mission-by-mission basis. RCMPS/UCSD will work with the relevant missions to ensure the availability of funds to pay the risk premium. RCMPS/UCSD will certify the fund commitment in Umoja. The fund commitment number will be included in the payment request to the D/CMS. The mission will then raise a disbursement voucher linking the fund commitment raised by RCMPS/UCSD.
- 22. In the event that contingent personnel have left the mission area, payment will be made by DMSPC to the T/PCC provided that its Permanent Mission in New York has provided assurances that all the funds received will be transmitted to the individuals approved to receive the risk premium or to their authorized beneficiaries in the case of posthumous awards.
- 23. RCMPS/UCSD, in consultation with DMSPC, shall keep the Under-Secretaries General for Peace Operations and Operational Support informed of the status of the monetary award of the risk premium and its relation to the ceiling established by the General Assembly on a quarterly basis.
- 24. Payments should be prorated for the number of days the unit is present in the mission area both for incoming and repatriating units.

25. Amounts due for units that are still in the mission area should be held by the mission in the payable account of the respective unit paymaster for release with the final payment within the checkout process.
 26. The use and status of the mission budgets shall be reported to the General Assembly in the performance reports of the qualifying United Nations peace operations.
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G. ROLES AND RESPONSIBILITIES

Mission-level

Force Commander (FC): Initiates the procedure to recommend a military unit for a risk premium. Issues letters of commendation, clasps and unit streamers after awarding of the risk premium by USG DPO.

Police Commissioner (PC): Initiates the procedure to recommend a police unit for a risk premium. Issues letters of commendation, clasps and unit streamers after awarding of the risk premium by USG DPO.

Mission-level panel, composed of senior civilian and uniformed personnel: assesses whether the unit meets the criteria for awarding a risk premium.

Head of Mission (HOM): endorses or rejects recommendation of the Mission-level panel. If endorsed, the recommendation will be sent via code cable to UNHQ with copy to UCSD and OMA or PD.

Director/Chief of Mission Support (DMS/CMS): implements procedures for payment of the risk premium to individual members of military and police units as part of the formal check-out process at the conclusion of service and manages the procurement of clasps and streamers.

Headquarters

MOU and Reimbursement Policy Section of the Uniformed Capabilities Support Division (MRPS/UCSD): acts as the secretariat for the risk premium process, conducts initial assessment of the risk premium requests, in consultations with OMA and/or PD and submits requests to HQ CMMRB for approval.

Reimbursement Claims Management and Performance Section of the Uniformed Capabilities Support Division (RCMPS/UCSD): Provides a consolidated statement reflecting the balance of available funding for the quarterly review of submissions. After approval by USG/DPO, processes the required documentation and conducts calculation and proration of risk premiums within the authorized ceiling. Submits payment request of risk premium to OPPFB for contingent personnel who have already departed the mission area. Coordinates payments with the mission for troops who are still in the mission area. Prepares relevant information for reporting to the General Assembly. Informs relevant field missions and T/PCC Permanent Missions of payment decisions.

Office of Military Affairs (OMA) and/or Police Division (PD): Works with MRPS/UCSD to review and assesses the eligibility of submissions in accordance with the established criteria. Coordinates with the relevant field mission clasps and streamers and supports field missions on issues pertaining to the distribution of clasps and streamers, including

the delivery of clasps and streamers to the Permanent Missions of troop- and police-contributing countries for units that have already departed the mission area.

Headquarters Contingent-Owned Equipment and Memorandum of Understanding Management Review Board (HQ CMMRB): Considers the requests as submitted by UCSD and makes recommendations accordingly.

Under-Secretary General for Peace Operations (USG/DPO): Has the delegated authority from the Secretary-General to award the risk premium. Makes the final decision to award a risk premium based on HQ CMMRB recommendations. Sends code cable to inform the peacekeeping mission of the decision. Sends a note verbale to inform the concerned Permanent Mission of the decision.

Finance Division: Authorizes payment of risk premiums to relevant Permanent Missions on behalf of repatriated troops following a request submitted by UCSD.

H. TERMS AND DEFINITIONS

Exceptional: Distinctive rare circumstances which will be objectively assessed with the use of a decision support matrix and supporting evidence.

Sustained Exposure: A period of exceptional risk in meeting operational requirements during a heightened level of alert and threat, as determined and documented by the FC/PC and HOM.

Acquitted themselves well: Exhibited gallantry, determination and esprit de corps in accomplishing the mission. This is exemplified through acting bravely in the face of great personal risk in the line of duty; performing distinctively, selflessly, above and beyond the call of duty; executing with a high level of skill and professionalism; acting in a notable manner which positively affected the eventual outcome; and acting with the express interest of the Organization and its principles.

Unit Any size unit or sub-unit of military or police contingent personnel that have acquitted themselves well in situations of exceptional risk.

I. REFERENCES

Normative or superior references

- A. General Assembly resolution 67/261.
- B. General Assembly resolution 68/281.

Related procedures or guidelines

- C. Guidelines on the Award of the Enabling Capability Premium.

J. MONITORING AND COMPLIANCE

UCSD acts as the secretariat handling the overall process of awarding the risk premium, initiates the payment request upon approval of USG/DPO and monitors the overall payments of the risk premiums within the ceiling established by the General Assembly.

MRPS/UCSD provides overall dissemination of guidance and information to ensure equal access to this risk premium by UN peacekeeping missions and T/PCCs.

K. CONTACT

The contact office for this policy is the MOU and Reimbursement Policy Section, UCSD.

L. HISTORY

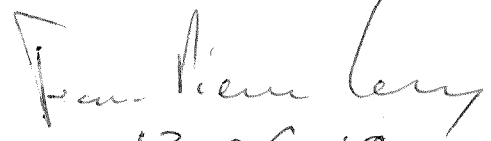
This is the first version of these guidelines.

APPROVAL SIGNATURE:



DATE OF APPROVAL: 12 June 2019

APPROVAL SIGNATURE:



13.06.19
DATE OF APPROVAL:

Annex A: Recommendation Form
Part I: Mission-level

Mission

Click here to enter text.

Military unit recommended for risk premium

Click here to enter text.

Country of origin

Click here to enter text.

Number of personnel in unit recommended for premium

Click here to enter text.

Period for which premium is being recommended

Click here to enter a date. to Click here to enter a date.

Date of unit's anticipated departure

Click here to enter a date.

Substantiation

1. **Tasks performed by the unit and the assessment of its performance for the period recommended for the premium**

Click here to enter text.

Supporting documentation: Click here to enter text.

2. **Nature and level of risk in which the unit was operating in the period recommended for the premium:**

Click here to enter text.

3. **Supporting documentation:** Click here to enter text.

(signature of FC or PC)

(printed name)

(date)

Mission recommendation

- ☐ It is hereby **recommended** to award the risk premium for the members of the above-mentioned unit who, in the period noted above, operated without caveats and restrictions and acquitted themselves well despite exceptional and sustained levels of risk.
- ☐ It is hereby **NOT recommended** to award the risk premium for the members of the above-mentioned unit, for the following reasons:
[Click here to enter text.](#)

(signature of head of mission)

(printed name)

(date)

List of attachments:

- ☐ List of personnel for which the award of the premium is recommended—***REQUIRED***
- ☐ Completed decision support matrix (Annex A)—***REQUIRED***
- ☐ Risk/threat assessment for the relevant period—***REQUIRED***
- ☐ Mission factor data consistent with the COE Manual
- ☐ Relevant situation reports and code cables
- ☐ Relevant military/police daily activity reports confirming tasks performed by the unit
- ☐ FC or PC official confirmation of operational use of live ammunition (COE report format)
- ☐ Other (please specify, e.g. statements of FC and/or PC, operational orders/requests, FRAGO, post action/operation reports):

[Click here to enter text.](#)

Part II: Headquarters

Office of Military Affairs / Police Division: Initial Review

☐ Recommended ☐ NOT recommended
Remarks: [Click here to enter text.](#)

(Military/Police Adviser)

(date)

HQ CMMRB: Review

☐ Recommended ☐ NOT recommended
Remarks: [Click here to enter text.](#)

(ASG Office of Operations)

(date)

Uniformed Capabilities Support Division: Technical Review

☐ Documentation/approval/signatures complete as per guidelines
☐ Funds available
Remarks: [Click here to enter text.](#)

(Director, UCSD)

(date)

Under-Secretary-General of Peace Operations: Decision

☐ Approved ☐ NOT approved
Remarks: [Click here to enter text.](#)

(USG DPO)

(date)

Uniformed Capabilities Support Division

☐ Request for payment sent to mission
☐ Request for payment sent to DMSPC
Remarks: [Click here to enter text.](#)

(Director, UCSD)

(date)

Annex B: Decision Support Matrix**Part I: Unit Eligibility Checklist**

To qualify for the risk premium, the following checklist must be completed to determine the eligibility of the unit in question.

Mandatory Criteria 1 (MC1): Restrictions/Caveats
Q1: Is the unit operating without any restrictions or caveats imposed on them by the troop- or police-contributing country that were not accepted by the United Nations in the memorandum of understanding?
<input type="checkbox"/> YES: Unit may be eligible; continue determination of eligibility in MC2. <input type="checkbox"/> NO: Unit does not meet this mandatory criteria and is not eligible for this premium. Attach documentation of the caveat or restriction on the unit.
Mandatory Criteria 2 (MC2): An exceptional and sustained level of danger to life, property and premises from hostile actions by parties to a conflict, spoilers, potential aggressors or warlords
Q2: Has the unit been subject to sustained hostile actions that highly-endanger life, property and premises for a sustained period?
<input type="checkbox"/> YES: Unit may be eligible; continue determination of eligibility. <input type="checkbox"/> NO: Unit does not meet the mandatory criteria and is not eligible for this premium.
Q3: Which of the following criteria for exceptional level of danger does the unit meet?
<input type="checkbox"/> Dramatic escalation of the threat level in the area of operation, as exemplified by the elevation of the security level. <input type="checkbox"/> Drastic and sudden increase in hostile activities historically uncommon to the area of operations. <input type="checkbox"/> High risk of death or injury from hostile actions exemplified by casualties, heavy damage to property/premises, or exceptional health risks

Q4: Please detail the duration and level of exposure to danger.
<p>Duration (number of hours) Click here to enter text.</p> <p>Level of exposure to danger (please attach documentation as necessary): Click here to enter text.</p>
Q5: Is the unit operating in an operational context drastically different from that of its initial deployment?
<p><input type="checkbox"/> YES: If yes, proceed to Q5a.</p> <p><input type="checkbox"/> NO: Continue with determination of eligibility in SC1.</p>
Q5a: Is there an increased risk of death or injury in the new operational context?
<p><input type="checkbox"/> YES: Please attach Risk/Threat Level Assessment. Proceed to Q5b.</p> <p><input type="checkbox"/> NO: Continue with determination of eligibility in SC1.</p>
Q5b: Does the unit have the appropriate personal protective equipment (PPE), experience, background and/or mandatory pre-deployment training to handle the new operational context?
<p><input type="checkbox"/> YES: Continue with determination of eligibility in SC1.</p> <p><input type="checkbox"/> NO: Please elaborate: Click here to enter text. Continue with determination of eligibility in SC1.</p>

Supplementary Criteria 1 (SC1): High levels of threat of death or injury due to mines, improvised explosive devices or unexploded ordinance

Q6: Has the unit been operating in a mission area where there is a high level of threat of death or injury due to any of the following?

- ☐ Land mines
- ☐ Improvised explosive devices
- ☐ Unexploded ordinance

- ☐ **YES:** This criteria supports unit eligibility. Please attach documentation.
- ☐ **NO**

Supplementary Criteria 2 (SC2): The likelihood of frequent hostage-taking, sniping and attacks on posts or mobile columns

❖ **Likelihood** should be established on the basis of trends, intelligence/information, historical data, records, and etc.

Q7: Has the unit been subject to any of the following threats, either per reliable (A1) intelligence/information or a historic pattern of incidents?

- ☐ Hostage taking
- ☐ Abduction/kidnapping
- ☐ Sniping
- ☐ Ambush attacks

- ☐ **YES:** This criteria supports unit eligibility. Please attach documentation.
- ☐ **NO**

Supplementary Criteria 3 (SC3): The lethality of different weapons systems available to parties on the ground

- ❖ Only weapons systems more powerful than the standard-issue firearms of armed peacekeeping personnel are considered sufficiently lethal to be considered for this criteria.
- ❖ Exceptions to these circumstances, as related to calibre, can be made with suitable justification taking into account quantity, prevalence and operational context.

Q8: Has the unit been directly exposed to the presence of the following weapons systems on the ground?

- ☐ Weapons of higher calibre than standard-issue firearms (e.g. 5.56 or 7.62 mm)
- ☐ Weapons of mass destruction

- ☐ **YES:** This criteria supports unit eligibility. Please attach documentation.
- ☐ **NO**

Supplementary Criteria 4 (SC4): The likelihood of United Nations forces being frequently involved in robust military engagement

- ❖ **Likelihood** entails a Chapter VI/VII mandate where the military and/or police concepts of operations and operational plans call for a robust military and/or police engagement.
- ❖ Robust military and/or police engagement involves the use of force by the military or police unit at the tactical level.

Q9: Does the unit conduct operations with tactical plans for robust military or police operations?

- ☐ **YES:** This criteria supports unit eligibility. Please attach OPOD/OPLAN/FRAGO
- ☐ **NO**

Q10: Has the unit frequently been involved in robust military or police engagement involving the use of force?

- ☐ **YES:** This criteria supports unit eligibility. Please attach SITREP/INCREP
- ☐ **NO**

Supplementary Criteria 5 (SC5): Serious deficiencies in the logistics infrastructure and challenges to self-sustainment to the extent that this will contribute to an elevated threat level

Q11: Is the logistics infrastructure in the area of operations significantly deficient such that it seriously affects support to the unit (e.g. in the areas of medical, communications and transportation) or its self-sustainment?

- ☐ **YES:** This criteria supports unit eligibility. Please attach documentation.
- ☐ **NO**

Part II: Narrative Report

Once the unit eligibility checklist has been completed and the unit is found to be eligible for the risk premium, the Force Commander or Police Commissioner is required to complete a narrative report detailing how the unit “acquitted itself well” in accomplishing its mission despite exceptional levels of risk.

Mandatory Criteria 3 (MC3) Unit must have acquitted themselves well despite exceptional levels of risk	
Q12: Did the unit acquit itself well in accomplishing its mission despite the exceptional level of risk? In either case, please attach incident report(s), after-action reports and other supporting documentation, as relevant.	
<input type="checkbox"/>	YES: Please provide a narrative summary of the incident(s) and how the unit exhibited <u>gallantry, determination and esprit de corps</u> in accomplishing its mission.
<input type="checkbox"/>	NO: Unit does not meet this mandatory criterion and is not eligible for this premium.
Narrative summary: Click here to enter text.	
<div style="text-align: right;"> <hr/> (signature of FC or PC) </div> <div style="text-align: right;"> <hr/> (printed name) </div> <div style="text-align: right;"> <hr/> (date) </div>	